



# EMERGENCY SOLUTIONS GRANTS (ESG) PROGRAM

VIRTUAL MEETING

2020 BALANCE OF STATE NOTICE OF FUNDING AVAILABILITY

SPEAKERS:

BRIANA HARVEY-BUTTERFIELD, PROGRAM MANAGER &  
DON TAYLOR, HOUSING AND HOMELESS ADMINISTRATOR  
BUTTE COUNTY DEPARTMENT OF EMPLOYMENT AND SOCIAL SERVICES

APRIL 1, 2020

# TODAY'S DISCUSSION: 2020 ESG BALANCE OF STATE NOFA

1. Overview
2. Non-Competitive Application
3. Competitive Application
4. Questions

Resource Links:

<https://www.hcd.ca.gov/grants-funding/active-funding/esg.shtml>



# 2020 ESG BALANCE OF STATE NOTICE OF FUNDING AVAILABILITY



# TIMELINE

February 28, 2020	ESG NOFA and application for the BoS allocation released
May 28, 2020	Application deadline
September 2020	HCD announces BoS awards

- Application DUE by 5pm, **Wednesday, April 29, 2020**, to Butte Countywide CoC.  
Submit to Email: [ButteCoC@buttecounty.net](mailto:ButteCoC@buttecounty.net)
- Application Review Meeting planned for, Monday, May 4, 2020
- Special CoC Council Meeting to approve rating and ranking to be held Tuesday, May 5, 2020

# OVERVIEW

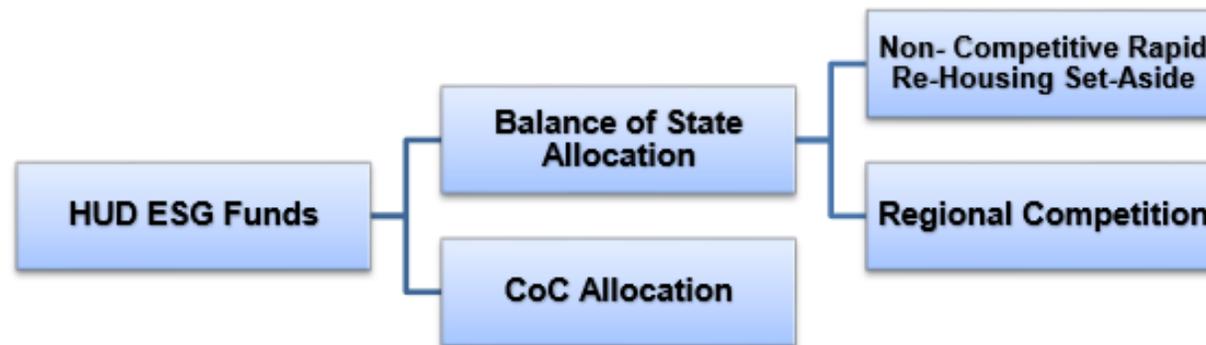
The ESG program provides funding for the following objectives:

- Engaging individuals and families experiencing homelessness;
- Improving the quality of Emergency Shelters (ES) for individuals and families experiencing homelessness by helping to operate these shelters, and by providing essential services to shelter residents;
- Rapidly re-housing individuals and families experiencing homelessness; and
- Preventing families/individuals from becoming homeless.

# BALANCE OF STATE ALLOCATION

The funds are distributed in two separate funding pools: the CoC allocation and the Balance of State (BoS) allocation. Funding in the BoS allocation is made available based on recommendations from a CoC in two ways:

1. Through regional competitions within three geographic regions (Northern Region, Bay Area Region, Central and Imperial Valley Region); and
2. Through a noncompetitive process for Rapid Re-Housing (RR) activities.



# ROLE OF COC FOR REGIONAL COMPETITION

- Applications from homeless providers shall only be considered if they are recommended by the CoC where the proposed activity is located. CoCs are required to submit the CoC recommendation package.
- For single-county CoCs, a maximum of two applications may be recommended by each CoC for the regional competition and a maximum of two applications may be recommended by each CoC for its noncompetitive funds.



# 2020 ESG BALANCE OF STATE NON-COMPETITIVE APPLICATION



# NON-COMPETITIVE APPLICATION

- CoCs are responsible for recommending homeless service providers for noncompetitive Rapid-Rehousing funds for a minimum of 40 percent up to a maximum of 50 percent of ESG funds in their BoS formula allocation.

# FUNDING

On March 16<sup>th</sup>, 2020 The CoC Council voted for: 40/60 percent Non-Competitive/Competitive.

Northern							
1	526	Amador, Calaveras, Tuolumne and Mariposa Counties CoC	\$171,612	\$68,645	\$102,967	\$85,806	\$85,806
2	519	Chico/Paradise/Butte County CoC	\$304,091	\$121,636	\$182,455	\$152,046	\$152,046
3	523	Colusa, Glenn, Trinity Counties CoC	\$187,694	\$75,078	\$112,616	\$93,847	\$93,847
4	522	Humboldt County CoC	\$306,258	\$122,503	\$183,755	\$153,129	\$153,129
5	529	Lake County CoC	\$237,135	\$94,854	\$142,281	\$118,568	\$118,568
6	509	Mendocino County CoC	\$234,618	\$93,847	\$140,771	\$117,309	\$117,309
7	516	Redding/Shasta, Siskiyou, Lassen, Plumas, Del Norte, Modoc, Sierra Counties CoC	\$278,461	\$111,384	\$167,077	\$139,231	\$139,231
8	527	Tehama County CoC	\$233,155	\$93,262	\$139,893	\$116,578	\$116,578
9	524	Yuba City & County/Sutter County CoC	\$221,608	\$88,643	\$132,965	\$110,804	\$110,804
			<b>\$2,174,632</b>	<b>\$869,853</b>	<b>\$1,304,779</b>	<b>\$1,087,316</b>	<b>\$1,087,316</b>

# ELIGIBLE ACTIVITIES

ACTIVITY	ALLOWABLE ESG AMOUNTS AND PERCENTAGES PER APPLICATION UNDER NONCOMPETITIVE RR SET-ASIDE (unless otherwise noted)
For single-county CoCs, up to two applications may be submitted. For multi-county CoCs, up to three applications may be submitted.	
<b>Rapid Re-Housing</b>	<u>Noncompetitive set-aside</u> : Per allocation, CoC must elect a minimum of 40 percent and a maximum of 50 percent of their BoS formula allocation.
<b>Street Outreach</b>	Up to a maximum of 10 percent of a total application request when requested in combination with RR. The SO activity may be subcontracted to another eligible provider or may be provided directly by the applicant.
<b>Homelessness Prevention</b>	Up to a maximum of 10 percent of a total application request when requested in combination with RR. The HP activity may be subcontracted to another eligible provider or may be provided directly by the applicant.
<b>HMIS</b>	Up to a maximum of 10 percent per application. The HMIS activity may be subcontracted to another eligible provider or may be provided directly by the applicant.
<b>Grant Administration</b>	Local government service providers may request up to \$200 per application for Grant Administration.

## NON-COMPETITIVE APPLICATION

**NOTE: Must include a Narrative with application to the CoC.**

Link:

<https://www.hcd.ca.gov/grants-funding/active-funding/esg.shtml>



# 2020 ESG BALANCE OF STATE COMPETITIVE APPLICATION



# COMPETITIVE APPLICATION

- All applicants must obtain a recommendation from their CoC. Under the regional competition, for single-county CoCs, no more than two applications shall be recommended by the CoC and submitted to HCD. HCD will award no more than two contracts through the regional competition per single-county CoC Service Area.

# ELIGIBLE ACTIVITIES

ACTIVITY	ALLOWABLE ESG AMOUNTS AND PERCENTAGES PER APPLICATION UNDER THE REGIONAL COMPETITION (unless otherwise noted)
For single-county CoCs, up to two applications may be submitted. For multi-county CoCs, up to three applications may be submitted.	
<b>Rapid Re-Housing</b>	Regional competition: \$75,000 minimum, \$200,000 maximum per application.
<b>Emergency Shelter</b>	\$75,000 minimum, \$200,000 maximum per application.
<b>Street Outreach</b>	\$75,000 minimum, \$200,000 maximum per application as a stand-alone activity, or up to a maximum of 10 percent of a total application (not to exceed \$20,000) when requested in addition to RR or ES. The SO activity may be subcontracted to another eligible provider or may be provided directly by the applicant.
<b>Homelessness Prevention</b>	Up to a maximum of 10 percent of a total application request (not to exceed \$20,000) when requested in combination with RR or ES. The HP activity may be subcontracted to another eligible provider or may be provided directly by the applicant. No stand-alone HP applications are permitted.
<b>HMIS</b>	Up to a maximum of 10 percent per application (not to exceed \$20,000). The HMIS activity may be subcontracted to another eligible provider or may be provided directly by the applicant.
<b>Grant Administration</b>	Local government service providers may request up to \$200 per application.

# COC APPLICATION RECOMMENDATIONS

- Applications shall only be considered if they are recommended by the CoC where the proposed activity is located. CoCs are required to submit the CoC recommendation package found with the ESG application on the ESG website.
  
- Recommended applications may not include funding requests of less than \$75,000 or more than \$200,000. In recommending applicants through the regional competition, the CoC must follow a procurement process including, but not limited to, the following:
  1. Conducting a fair and open competition that avoids conflicts of interest in project selection, implementation, and the administration of funds; and
  2. Considering state application eligibility and rating criteria as described in the “Application Threshold Requirements,” “Application Submittal Requirements,” and the “Rating Criteria” sections of the ESG NOFA.

# APPLICATION REQUIREMENTS

An application must meet the following threshold requirements to be eligible for rating and ranking:

1. The application was complete and received by the deadline.
2. The applicant is eligible as described in Section III Subsection B of the NOFA.
3. The CoC recommends the applicant from the Service Area where the proposed activity is located, as documented by the certification of approval from the CoC.
4. The application includes a funding request of at least \$75,000, but not more than \$200,000.
5. Proposed activities are eligible pursuant to Section III Subsection B of the NOFA.
6. Applicant must have adequate and documented site control for any application proposing an Emergency Shelter (ES) activity.

## APPLICATION REQUIREMENTS CONTINUED

7. The application includes a certification of written standards for the proposed activities adopted by the CoC.
8. The application includes a completed Authorizing Resolution (AR) approved by the applicant's governing board. The AR designates a person or persons responsible for, and authorized to execute, all documents related to the application of ESG funds, ESG award, and submittal of funds requests.
9. For private non-profit organizations proposing ES activities, the application must include a Certification of Local Approval completed and signed by the city or county where the activity is located.
10. For applications from private nonprofit-organizations, the application contains the organization's current bylaws and articles of incorporation.
11. Applicants must have identified dollar-for-dollar match for the federal ESG funding with funds from other public or private sources.

# RATING CRITERIA

<u>Factor</u>	<u>Criteria</u>	<u>Max Points</u>
<b>Applicant Experience</b>	Length of experience implementing the proposed eligible activity or activity similar to the proposed eligible activity.	20
	<p>For applicants who have received ESG funding in at least one of the past three years (e.g. 2017, 2018, or 2019), a maximum of 20 points will be deducted for the following:</p> <ul style="list-style-type: none"> <li>• HCD has terminated or disencumbered ESG grant funding;</li> <li>• The applicant has unresolved monitoring findings in ESG that pose a substantial risk to HCD; or</li> <li>• The applicant has not submitted annual reports in a timely manner for ESG grants.</li> </ul>	-20
<b>Program Design</b>	<p>Quality of the proposed program in delivering eligible activities to participants consistent with the CoC's written standards and state ESG Regulation section 8409 Core Practices. The CoC representative must self-certify the Core Practice Table in the application is true and correct.</p> <p>In making determinations under this rating factor, HCD may examine such things as CoC written standards for the proposed activity, provider guidelines governing activity operations, program rules for clients, the reasonableness of program staffing</p>	20

<u>Factor</u>	<u>Criteria</u>	<u>Max Points</u>
	patterns, and the activity budget relative to program design, target population, and local conditions.	
<b>Need for Funds</b>	<p>Need for funds is based on whether the application activity and subpopulation targeting, if any, meets a high need for the community as identified by the CoC in a manner that is consistent with the state's Core Practices.</p> <p>Need is supported by data and analysis provided by the CoC, including, but not limited to, HMIS data and data from the most recent Point-in-Time Count of homeless persons published by HUD.</p>	10

<p><b>Impact and Effectiveness</b></p>	<p>HUD. Scoring for the performance outcomes in the impact and effectiveness-rating factor will be evaluated using data from HMIS for federal fiscal year October 1, 2018 – September 30, 2019, or for those projects not in operation during this entire time, the most recent 12-month period. For data coming from victim service providers, data from a HUD-compliant comparable database may be used.</p> <p><u>Project-level performance data – up to 24 points</u> The project level measures are as follows:</p> <p>1) Average length of project participation for individual leavers <a href="#"><u>CoC Program HMIS Manual</u></a></p> <p>2) Leavers exiting to permanent housing. Scores assigned will be based on relative success rate. For project-level performance metrics, programs of the same activity type (i.e. SO, ES, RR) will only be compared against programs of that same activity-type.</p> <p><u>System-Level Performance Data – up to 6 points</u> Reports submitted by the CoC must be consistent with HUD’s May 2015 System Performance Measures. Scoring will be based on the CoC Service Area’s shown improvement in either of the following two measures over the 12-month period ending September 30, 2019: 1) The Change in Exits to Permanent Housing, (Measure 7b1, or as may be renumbered by HUD); or 2) Increases in the number of Chronically Homeless served, as measured under Metric 3.917, or as may be renumbered by HUD.</p>	<p>30</p>
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<b>Cost Efficiency</b>	Using HMIS and expenditure data for federal fiscal year October 1, 2018 – September 30, 2019, or, for those programs not in operation during this entire time. Applications will be evaluated based on the average cost per exit to permanent housing based on the total program expenditures for the proposed activity and the number of exits to permanent housing <a href="#">CoC Program HMIS Manual</a> .	10
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<u>Factor</u>	<u>Criteria</u>	<u>Max Points</u>
	<p>For data coming from victim service providers, data from a HUD-compliant comparable database may be used.</p> <p>HCD may require additional documentation to verify the accuracy of the information provided. If this occurs, the applicant shall promptly provide such documentation.</p>	
<b>TOTAL</b>		<b>90</b>

# APPLICATION SELECTION

Applications will be ranked based on their score as follows:

1. Within each regional allocation, applications will be ranked in descending order and awarded the amount requested in the application, or a revised amount if necessary to conform to funding limits in the NOFA. In the event of a tie between applicants within a regional allocation, funds will be awarded to the applicant who scored the most points in the Impact and Effectiveness rating factor.
2. When there are insufficient funds to fully fund the next highest ranked application, this application may be partially funded, if the approved activities can be adequately performed with the remaining ESG allocation.

# ELIGIBLE APPLICANTS

- To provide ESG-eligible activities within a CoC Service Area, applicants must be either private non-profit organizations or units of general-purpose local governments.
- All applicants must have a Dun and Bradstreet Universal Numbering System (DUNS) number.
  - Applicants must list their DUNS number in the ESG application. Evidence of an active DUNS number with the correct applicant address is required as part of the application and may be obtained by searching the System of Award Management. Applicants must include a copy of the search result in the application.

## ELIGIBLE COSTS

- Grantees and their recommended subgrantees must follow all the OMB Cost Principles and Generally Accepted Accounting Principles (GAAP).
- Shifting costs between awards to overcome funding deficiencies is not allowed.
- Costs charged to the ESG program must be allowable, allocable, and reasonable.
- Eligible costs may be direct or indirect. They must be incurred for the same purpose in like circumstances and must be treated consistently as either direct or indirect costs.

# IMPLEMENTATION REQUIREMENTS

## Use of Coordinated Entry

All ESG-funded activities shall utilize a Coordinated Entry System (CES) established by the CoC in a manner that promotes the following:

1. Comprehensive and coordinated access to assistance regardless of where an individual or family is located in the CoC Service Area. Local systems should be easy to navigate and have protocols in place to ensure immediate access to assistance for people who are experiencing homelessness or are most at-risk.
2. Prioritized access to assistance for people with the most urgent and severe needs, including, but not limited to, survivors of domestic violence. ESG-funded activities shall seek to prioritize people who:
  1. Are unsheltered and living in places not designed for human habitation.
  2. Have experienced the longest amount of time homeless.
  3. Have multiple and severe services needs that inhibit their ability to quickly identify and secure housing on their own.
  4. For HP activities, are at greatest risk of becoming Literally Homeless without an intervention, and are at greatest risk of experiencing a longer time in ES or on the street should they become homeless.

# IMPLEMENTATION REQUIREMENTS CONTINUED

## **Housing First Practices**

All ESG-assisted projects shall operate in a manner consistent with Housing First practices as reflected in the state's Core Practices and the CoC written standards and progressive engagement and assistance practices, including the following:

1. Ensuring low-barrier, easily accessible assistance to all people, including, but not limited to, people with no income or income history, and people with active substance abuse or mental health issues.
2. Helping participants quickly identify and resolve barriers to obtaining and maintaining housing.
3. Quickly resolving a participant's housing crisis before focusing on other non-housing related services.
4. Allowing participants to choose the services and housing that meets their needs, within practical and funding limitations.
5. Connecting participants to appropriate support services available in the community that foster long-term housing stability.

# IMPLEMENTATION REQUIREMENTS CONTINUED

## **Progressive Engagement**

Offering financial assistance and supportive services in a manner that offers a minimum amount of assistance initially, adding more assistance over time, if needed, to quickly resolve the participant's housing crisis by either ending homelessness, avoiding an immediate return to becoming literally Homeless, or avoiding the imminent risk of becoming homeless. The type, duration, and amount of assistance offered shall be based on an individual assessment of the household and the availability of other resources or support systems to resolve their housing crisis and stabilize them in housing.

# IMPLEMENTATION REQUIREMENTS CONTINUED

## **RR and HP Terms of Assistance**

RR activities funded within the same CoC Service Area shall follow the same program requirements for type, duration, and amount of assistance provided, unless the CoC provides sufficient written justification for any differences and these are approved in writing by HCD. HP activities funded within the same CoC Service Area shall follow the same program requirements for type, duration, and amount of assistance provided, unless sufficient written justification for any differences is provided by the CoC and HCD approves such justification in writing.

# MATCH REQUIREMENTS

- Grantees must make matching contributions in an amount that equals the amount of federal ESG funds awarded. HCD may request documentation as part of program monitoring to determine the sources and amounts used to meet the federal ESG matching requirement.
- Matching contributions may be obtained from any source, including any federal source other than the ESG program, as well as state, local, and private sources. However, the following requirements apply to matching contributions from a federal source of funds:
  1. Laws governing any funds to be used as matching contributions do not prohibit those funds from being used to match ESG funds.
  2. If ESG funds are used to satisfy the matching requirements of another federal program, then funding from that program may not be used to satisfy the matching requirements.

# EXPENDITURE & OBLIGATION REQUIREMENTS

- All of the grantee's ESG funds must be expended for eligible activity costs within 24 months after the date HUD signs the grant agreement with HCD.
- After the Standard Agreement with HCD is fully executed, grantees may request an advance of 30 days working capital or \$5,000, whichever is greater. Otherwise, all funding requests are on a reimbursement-only basis. All eligible costs incurred after the date of the award letter issued to the grantee by HCD are reimbursable. Grantees shall not expend any funds requiring reimbursement prior to the date of the award letter. However, no funds shall be expended until any required environmental review process has been completed.
- Requests for disbursement must be made at least quarterly. HCD may establish minimum reimbursement amounts, or other related procedures necessary for the efficient administration of the ESG program.

# ADDITIONAL FEDERAL REQUIREMENTS

- General
- Homeless Participation
- Program Termination
- Program Administration
- Faith-based activities
- Affirmative Outreach
- Uniform Administrative
- Environmental Review Responsibilities
- Procurement of Recovered Materials
- Shelter and Housing Standards
- Recordkeeping and Reporting
- Enforcement
- Other

# COMPETITIVE APPLICATION

Link:

<https://www.hcd.ca.gov/grants-funding/active-funding/esg.shtml>



# QUESTIONS

