

EMERGENCY SOLUTIONS GRANTS (ESG) PROGRAM

VIRTUAL MEETING

2023 BALANCE OF STATE NOTICE OF FUNDING AVAILABILITY

SPEAKERS:

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PROGRAM MANAGER, HOUSING & HOMELESS

WENDY LO

HEALTH & HUMAN SERVICES PROGRAM ANALYST III

BUTTE COUNTY DEPARTMENT OF EMPLOYMENT AND SOCIAL SERVICES

AUGUST 28, 2023

TODAY'S DISCUSSION: 2023 ESG BALANCE OF STATE NOFA

1. Overview
2. Eligible Activities
3. CoC Application Process
4. HCD Application & Implementation Information
5. Questions

Resource Links:

<https://www.hcd.ca.gov/grants-and-funding/programs-active/emergency-solutions-grants>

<https://portal.ecivis.com/#/login>



2023 ESG BALANCE OF STATE
NOTICE OF FUNDING AVAILABILITY OVERVIEW



OVERVIEW

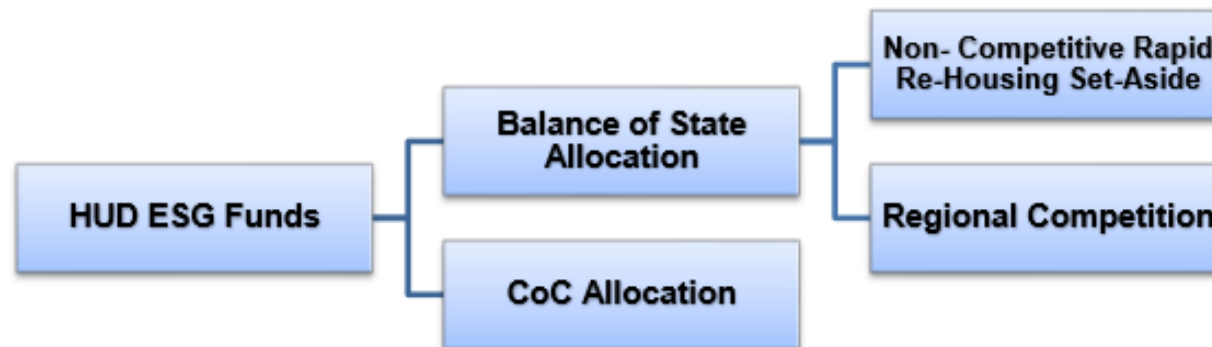
The ESG program provides funding for the following objectives:

- Engaging individuals and families experiencing homelessness;
- Improving the quality of Emergency Shelters (ES) for individuals and families experiencing homelessness by helping to operate these shelters, and by providing essential services to shelter residents;
- Rapidly re-housing individuals and families experiencing homelessness; and
- Preventing families/individuals from becoming homeless.

BALANCE OF STATE ALLOCATION

The funds are distributed in two separate funding pools: the CoC allocation and the Balance of State (BoS) allocation. Funding in the BoS allocation is made available based on recommendations from a CoC in two ways:

1. Through regional competitions within three geographic regions (Northern Region, Bay Area Region, Central and Imperial Valley Region); and
2. Through a noncompetitive process for Rapid Re-Housing (RR) activities.



FUNDING

2023 Estimated BoS Allocation: 50 percent Non-Competitive/Competitive.

526	Amador, Calaveras, Tuolumne, and Mariposa Counties CoC	\$ 156,779	\$ 62,712	\$ 94,067	\$ 78,390	\$ 78,390
519	Chico/Paradise/Butte County CoC	\$ 315,761	\$ 126,304	\$ 189,457	\$ 157,881	\$ 157,881
523	Colusa, Glenn, Trinity Counties CoC	\$ 206,189	\$ 82,476	\$ 123,713	\$ 103,095	\$ 103,095
522	Humboldt County CoC	\$ 286,269	\$ 114,508	\$ 171,761	\$ 143,135	\$ 143,135
529	Lake County CoC	\$ 246,245	\$ 98,498	\$ 147,747	\$ 123,123	\$ 123,123
509	Mendocino County CoC	\$ 237,825	\$ 95,130	\$ 142,695	\$ 118,913	\$ 118,913

Note: At the August 21, 2023 CoC Meeting, CoC Council voted for the formula allocation to be 50/50.



TIMELINE

ESG NOFA released:	August 15 th , 2023
Application DUE to Butte Countywide CoC: Submit to E-mail: ButteCoC@buttecounty.net	September 11 th , 2023 by 5 p.m.
Tentative Application Review Meeting:	September 14 th , 2023
CoC Council Meeting to review Committee recommendations:	September 18 th , 2023
Applications due to HCD in eCIVIS: Website: http://portal.ecivis.com/#!/login	October 16 th , 2023 by 3 p.m.
Tentative HCD award announcement	January 2024

COC APPLICATION RECOMMENDATIONS

- Applications shall only be considered by HCD if they are recommended by the CoC where the proposed activity is located. CoCs are required to submit the CoC recommendation package found with the ESG application on HCD's website.
- For single-county CoCs, a maximum of two applications may be recommended by each CoC for the regional competition and a maximum of two applications may be recommended by each CoC for its noncompetitive funds.
- In recommending applicants through the regional competition, the CoC must follow a procurement process including, but not limited to, the following:
 1. Conducting a fair and open competition
 2. Considering state application eligibility and rating criteria



2023 ESG BALANCE OF STATE ELIGIBLE ACTIVITIES



NON-COMPETITIVE ELIGIBLE ACTIVITIES

ACTIVITY	ALLOWABLE ESG AMOUNTS AND PERCENTAGES PER APPLICATION UNDER <u>NONCOMPETITIVE</u> RR SET-ASIDE (unless otherwise noted)
<p>For single-county CoCs, up to two applications may be submitted. For multi-county CoCs, up to three applications may be submitted.</p>	
Rapid Re-Housing	<p><u>Noncompetitive set-aside</u>: Per allocation, CoC must elect a minimum of 40 percent and a maximum of 50 percent of their BoS formula allocation.</p>
Street Outreach	<p>Up to a maximum of 10 percent of a total application request when requested in combination with RR. The SO activity may be subcontracted to another eligible service provider or may be provided directly by the Applicant.</p>
Homelessness Prevention	<p>Up to a maximum of 10 percent of a total application request when requested in combination with RR. The HP activity may be subcontracted to another eligible service provider or may be provided directly by the Applicant.</p>
HMIS	<p>Up to a maximum of 10 percent per application. The HMIS activity may be provided directly by the Applicant or may be subcontracted to another eligible service provider.</p>
Grant Administration	<p>Local government service providers may request up to \$200 per application for Grant Administration.</p>

COMPETITIVE ELIGIBLE ACTIVITIES

ACTIVITY	ALLOWABLE ESG AMOUNTS AND PERCENTAGES PER APPLICATION UNDER THE <u>REGIONAL COMPETITION</u> (unless otherwise noted)
For single-county CoCs, up to two applications may be submitted. For multi-county CoCs, up to three applications may be submitted.	
Rapid Re-Housing	<u>Regional competition</u> : \$75,000 minimum, \$200,000 maximum per application.
Emergency Shelter	\$75,000 minimum, \$200,000 maximum per application.
Street Outreach	\$75,000 minimum, \$200,000 maximum per application as a stand-alone activity, or up to a maximum of 10 percent of a total application (not to exceed \$20,000) when requested in addition to RR or ES. The SO activity may be subcontracted to another eligible service provider or may be provided directly by the Applicant.
Homelessness Prevention	Up to a maximum of 10 percent of a total application request (not to exceed \$20,000) when requested in combination with RR or ES. The HP activity may be subcontracted to another eligible service provider or may be provided directly by the Applicant. No stand-alone HP applications are permitted.
HMIS	Up to a maximum of 10 percent per application (not to exceed \$20,000). The HMIS activity may be subcontracted to another eligible service provider or may be provided directly by the Applicant.
Grant Administration	Local government service providers may request up to \$200 per application.
<u>Note</u> : Applicant's budgets must identify a 'primary' activity.	

SUMMARY OF NON-COMPETITIVE AND COMPETITIVE ACTIVITIES

Non-Competitive	Competitive
Maximum of \$157,881 per application	Minimum of \$75,000, maximum of \$200,000 per application
Required Primary Activity: Rapid Re-Housing	Primary Activity can be either: <ul data-bbox="1312 763 1643 892" style="list-style-type: none">• Rapid Re-Housing• Emergency Shelter• Street Outreach
Can also include: <ul data-bbox="522 992 1197 1163" style="list-style-type: none">• Street Outreach, a maximum of 10%• Homelessness Prevention, a maximum of 10%• HMIS, a maximum of 10%	Can also include: <ul data-bbox="1312 992 2025 1206" style="list-style-type: none">• Homelessness Prevention, maximum of 10%• HMIS, maximum of 10%• Street Outreach, maximum of 10% when combined with RRH or ES as primary activity



2023 ESG BALANCE OF STATE COC APPLICATION PROCESS



COC APPLICATION REQUIREMENTS

Applications must be submitted to the CoC as follows:

- E-mail to ButteCoC@buttecounty.net
 - Subject line must consist of Agency Name, “ESG Balance of State”, and Non-Competitive or Competitive
 - Within the body of the email, include a brief project overview (a max of 250 words), list the amount requested and the number of people to be served.

- Include the following as attachments
 - Application Form
 - Written Narrative of proposed project
 - Budget Form

NON-COMPETITIVE AND COMPETITIVE RATING CRITERIA

Factor	Criteria	Max Points
Applicant Experience	Length of experience implementing the proposed eligible Activity or Activity like the proposed eligible Activity.	20
	For Applicants who have received ESG funding in at least one of the past three years, a maximum of 20 points will be deducted for the following: <ul style="list-style-type: none"> • HCD has terminated or disencumbered ESG grant funding; • The Applicant has unresolved monitoring findings in ESG that pose a substantial risk to HCD; or • The Applicant has not submitted annual reports in a timely manner for ESG grants. 	-20
Program Design	Quality of the proposed program in delivering eligible Activities to participants consistent with the CoC's written standards and state ESG Regulation section 8409 Core Practices. In making determinations under this rating factor, Committee may examine such things as CoC written standards for the proposed Activity and the Activity budget relative to program design, target population, and local conditions.	20
Need for Funds	Need for funds is based on whether the application Activity and subpopulation targeting, if any, meets a high need for the community as identified by the CoC in a manner that is consistent with the state's Core Practices. Need is supported by data and analysis provided by the CoC, including, but not limited to, HMIS data and data from the most recent Point-in-Time Count of homeless persons published by HUD.	10
Impact and Effectiveness	Scoring for the performance outcomes in the impact and effectiveness-rating factor will be evaluated using data from HMIS (or equivalent) for the federal fiscal years October 1, 2020 – September 30, 2021, and October 1, 2021 – September 30, 2022, or for those projects not in operation during this entire time, the most recent 12-month period.	30
Cost Efficiency	Using HMIS (or equivalent) and expenditure data for federal fiscal year October 1, 2021 – September 30, 2022, or, for those programs not in operation during this entire time, Applications will be evaluated based on the average cost per exit to permanent housing based on the total program expenditures for the proposed Activity and the number of exits to permanent housing CoC Program HMIS Manual.	10
Total		90



HCD APPLICATION AND IMPLEMENTATION INFORMATION



ELIGIBLE APPLICANTS

- To provide ESG-eligible activities within a CoC Service Area, applicants must be either private non-profit organizations or units of general-purpose local governments.
- All applicants must have a Dun and Bradstreet Universal Numbering System (DUNS) number.
 - Applicants must list their DUNS number in the ESG application. Evidence of an active DUNS number with the correct applicant address is required as part of the application and may be obtained by searching the System of Award Management (SAM). Applicants must include a copy of the search result in the application.

ELIGIBLE COSTS

- Grantees and their recommended subgrantees must follow all the OMB Cost Principles and Generally Accepted Accounting Principles (GAAP).
- Shifting costs between awards to overcome funding deficiencies is not allowed.
- Costs charged to the ESG program must be allowable, allocable, and reasonable.
- Eligible costs may be direct or indirect. They must be incurred for the same purpose in like circumstances and must be treated consistently as either direct or indirect costs.



APPLICATION REQUIREMENTS

An application must meet the following threshold requirements to be eligible for rating and ranking:

1. The application was complete and received by the deadline.
2. The applicant is eligible as described in Section III(B) of the NOFA.
3. The CoC recommends the applicant from the Service Area where the proposed activity is located, as documented by the certification of approval from the CoC.
4. The application includes a funding request of at least \$75,000, but not more than \$200,000 for competitive grants. Applications includes a funding request no more than \$157,881 for non-competitive grants.
5. Proposed activities are eligible pursuant to Section III(B) of the NOFA.



APPLICATION REQUIREMENTS CONTINUED

7. The application includes a certification of **written standards** for the proposed activities adopted by the CoC.
8. The application includes a completed Authorizing Resolution (AR) approved by the applicant's governing board. The AR designates a person or persons responsible for, and authorized to execute, all documents related to the application of ESG funds, ESG award, and submittal of funds requests.
9. For applications from private nonprofit-organizations, the application contains the organization's current bylaws and articles of incorporation.
10. All applicants must demonstrate they are in compliance with the financial management requirements of 2 CFR 200 and provide its most recent single audit as submitted to the State Controller's Office.
11. Applicants must have identified **dollar-for-dollar match** for the federal ESG funding with funds from other public or private sources.

DATA REQUIREMENTS

- CoC Outcomes by Race and Ethnicity
 1. Pursuant to the direction from HUD, applicants should prioritize the advancement of racial equity at all levels of the homeless response team.
 2. ESG applicants should consider their community's racial demographics and the demographics within the local homeless response system.
 3. ESG applicants should consider outcomes of the homeless response system based on race and include a plan for increasing the equitable access to ESG programming.
- Program Specific Data
- 2023 PIT
- 2023 HIC

APPLICATION SELECTION

Applications will be ranked based on their score as follows:

1. Within each regional allocation, applications will be ranked in descending order and awarded the amount requested in the application, or a revised amount if necessary to conform to funding limits in the NOFA. In the event of a tie between applicants within a regional allocation, funds will be awarded to the applicant who scored the most points in the Impact and Effectiveness rating factor.
2. When there are insufficient funds to fully fund the next highest ranked application, this application may be partially funded, if the approved activities can be adequately performed with the remaining ESG allocation.

COMPETITIVE RATING CRITERIA

Factor	Criteria	Max Points
Applicant Experience	Length of experience implementing the proposed eligible Activity or Activity like the proposed eligible Activity.	20
	HCD has terminated or disencumbered ESG grant funding; The Applicant has unresolved monitoring findings in ESG that pose a substantial risk to HCD; or The Applicant has not submitted annual reports in a timely manner for ESG grants.	-20
Program Design	Quality of the proposed program in delivering eligible Activities to participants consistent with the CoC's written standards. HCD may examine such things as CoC written standards for the proposed Activity, Service Provider guidelines governing Activity operations, program rules for clients, the reasonableness of program staffing patterns, and the Activity budget relative to program design, target population, and local conditions.	20
Need for Funds	Need for funds is based on whether the application Activity and subpopulation targeting, if any, meets a high need for the community as identified by the CoC in a manner that is consistent with the state's Core Practices.	10
Impact and Effectiveness	<u>Project-level performance data – up to 24 points</u> The project level measures are as follows: 1) Average length of project participation for individual leavers. 2)Leavers exiting to permanent housing. <u>System Level Performance – up to 6 points</u> Reports submitted to the CoC must be consistent with HUD's 2/19 System Performance Measures.	30
Cost Efficiency	Using HMIS and expenditure data for federal fiscal year October 1, 2021 – September 30, 2022, or, for those programs not in operation during this entire time, Applications will be evaluated based on the average cost per exit to permanent housing based on the total program expenditures for the proposed Activity and the number of exits to permanent housing.	10
Total		90

IMPLEMENTATION REQUIREMENTS

Use of Coordinated Entry

All ESG-funded activities shall utilize a Coordinated Entry System (CES) established by the CoC in a manner that promotes the following:

- Comprehensive and coordinated access to assistance regardless of where an individual or family is located in the CoC Service Area.
- Prioritized access to assistance for people with the most urgent and severe needs, including, but not limited to, survivors of domestic violence. ESG-funded activities shall seek to prioritize people who:
 1. Are unsheltered and living in places not designed for human habitation.
 2. Have experienced the longest amount of time homeless.
 3. Have multiple and severe services needs that inhibit their ability to quickly identify and secure housing on their own.
 4. For HP activities, are at greatest risk of becoming Literally Homeless without an intervention, and are at greatest risk of experiencing a longer time in ES or on the street should they become homeless.

IMPLEMENTATION REQUIREMENTS CONTINUED

Housing First Practices

All ESG-assisted projects shall operate in a manner consistent with Housing First practices as reflected in the state's Core Practices and the CoC written standards and progressive engagement and assistance practices, including the following:

1. Ensuring low-barrier, easily accessible assistance to all people, including, but not limited to, people with no income or income history, and people with active substance abuse or mental health issues.
2. Helping participants quickly identify and resolve barriers to obtaining and maintaining housing.
3. Quickly resolving a participant's housing crisis before focusing on other non-housing related services.
4. Allowing participants to choose the services and housing that meets their needs, within practical and funding limitations.
5. Connecting participants to appropriate support services available in the community that foster long-term housing stability.

IMPLEMENTATION REQUIREMENTS CONTINUED

Progressive Engagement

Offering financial assistance and supportive services in a manner that offers a minimum amount of assistance initially, adding more assistance over time, if needed, to quickly resolve the participant's housing crisis by either ending homelessness, avoiding an immediate return to becoming literally homeless, or avoiding the imminent risk of becoming homeless. The type, duration, and amount of assistance offered shall be based on an individual assessment of the household and the availability of other resources or support systems to resolve their housing crisis and stabilize them in housing.

IMPLEMENTATION REQUIREMENTS CONTINUED

RR and HP Terms of Assistance

Rapid Re-Housing (RR) and Homelessness Prevention (HP) activities funded within the same CoC Service Area shall follow their respective program requirements for type, duration, and amount of assistance provided, unless the CoC provides sufficient written justification for any differences and these are approved in writing by HCD.

IMPLEMENTATION REQUIREMENTS CONTINUED

- Street Outreach(SO), Emergency Shelter Requirements(ER), Rapid Re-Housing(RRH) and Homeless Prevention(HP) applicants that are awarded funding are required to develop and implement written policies and procedures(P&P) that fully detail their SO, ES, RRH, and HP operations.
- HCD has provided policy manuals for SO, ER, RRH and HP
 - [Street Outreach Policy \(ca.gov\)](#)
 - [Emergency Shelter Policy \(ca.gov\)](#)
 - [Rapid Re-Housing Manual \(ca.gov\)](#)
 - [Homelessness Prevention Policy \(ca.gov\)](#)

MATCH REQUIREMENTS

- Grantees must make matching contributions in an amount that equals the amount of federal ESG funds awarded. HCD may request documentation as part of program monitoring to determine the sources and amounts used to meet the federal ESG matching requirement.
- Matching contributions may be obtained from any source, including any federal source other than the ESG program, as well as state, local, and private sources. However, the following requirements apply to matching contributions from a federal source of funds:
 1. Laws governing any funds to be used as matching contributions do not prohibit those funds from being used to match ESG funds.
 2. If ESG funds are used to satisfy the matching requirements of another federal program, then funding from that program may not be used to satisfy the matching requirements.

MATCH EXEMPTION

- Match Exemption Application (Up to a maximum \$100,00 will be awarded.)
- Applicants interested in a match exemption must submit the request and required documentation at the time of their application via eCivis.
- The Exemption application is only valid for 2023 ESG BoS Application
- Each Subrecipient will receive a “match exemption score”
 1. 2 points for subrecipients which are considered rural (Includes Butte Countywide homeless CoC).
 2. 3 points for Subrecipients that reported difficulty in producing the necessary level of math, or provided 75% or less of its match requirements.
 3. Up to 5 points are allocated to Subrecipients who indicate they would like to receive the match exemption. Points will be rewarded base on a short narrative where Subrecipients will explain their circumstances.

EXPENDITURE & OBLIGATION REQUIREMENTS

- All of the grantee's ESG funds must be expended for eligible activity costs within 24 months after the date HUD signs the grant agreement with HCD and must meet the following expenditure milestones:

Percentage of ESG Award that must be expended	Milestone Deadline
20%	120 days after execution of contract
50%	180 days prior to expenditure deadline
80%	60 days prior to expenditure deadline

- After the Standard Agreement with HCD is fully executed, grantees may request an advance of 30 days working capital or \$5,000, whichever is greater. Otherwise, all funding requests are on a reimbursement-only basis.
- All eligible costs incurred after the date of the award letter issued to the grantee by HCD are reimbursable. Grantees shall not expend any funds requiring reimbursement prior to the date of the award letter. However, no funds shall be expended until any required environmental review process has been completed.
- Requests for disbursement must be made at least quarterly. HCD may establish minimum reimbursement amounts, or other related procedures necessary for the efficient administration of the ESG program.

RESOURCES

- [Emergency Solutions Grants Program \(ESG\) | California Department of Housing and Community Development](#)
 - NOFA
 - ESG Eligible Expense Guide
- [eCivis - Grants Network](#)
 - 2023 ESG Balance of State Non-Competitive
 - 2023 ESG Balance of State Competitive
- [ON A MISSION TO END HOMELESSNESS IN BUTTE COUNTY - Home \(buttehomelesscoc.com\)](#)
 - 2023 PIT
 - 2023 HIC

RESOURCES

Agencies selected to submit applications through eCivis have access to County support during the submission period.

- Mandatory Meetings:

1. September 19 and September 29 - provide an overview of the submission process
2. Before October 11 - assist with application submission

Optional Meetings:

- Agencies may request additional meeting(s) between September 18 and October 16 for guidance through the application process. Any inquiries regarding an optional meeting must be sent to ButteCoC@buttecounty.net.



QUESTIONS?
LOOK FOR EMAIL TO FOLLOW

