



EMERGENCY SOLUTIONS GRANTS (ESG) PROGRAM

VIRTUAL MEETING

2021 BALANCE OF STATE NOTICE OF FUNDING AVAILABILITY

SPEAKERS:

BRIANA HARVEY-BUTTERFIELD, PROGRAM MANAGER &
DON TAYLOR, HOUSING AND HOMELESS ADMINISTRATOR
BUTTE COUNTY DEPARTMENT OF EMPLOYMENT AND SOCIAL SERVICES

AUGUST 30, 2021

TODAY'S DISCUSSION: 2021 ESG BALANCE OF STATE NOFA

1. Overview
2. Non-Competitive Application
3. Competitive Application
4. Application and Implementation Information
4. Questions

Resource Links:

<https://www.hcd.ca.gov/grants-funding/active-funding/esg.shtml>

<https://portal.ecivis.com/#/login>



2021 ESG BALANCE OF STATE NOTICE OF FUNDING AVAILABILITY OVERVIEW



TIMELINE

August 17, 2021	ESG NOFA and applications for the BoS allocation released
October 19, 2021	Application deadline
December 2021	HCD announces BoS awards

- Application DUE by 5pm, **Friday, September 24, 2021**, to Butte Countywide CoC.
Submit to Email: ButteCoC@buttecounty.net
- Application Review Meeting planned for, Thursday, September 30, 2021.
- Special CoC Council Meeting to approve rating and ranking to be held Thursday, October 7, 2021.
- Once proposal is accepted by the CoC, applications must be submitted **online** by 5pm, October 19, 2021 to <https://portal.ecivis.com/#/login> .

OVERVIEW

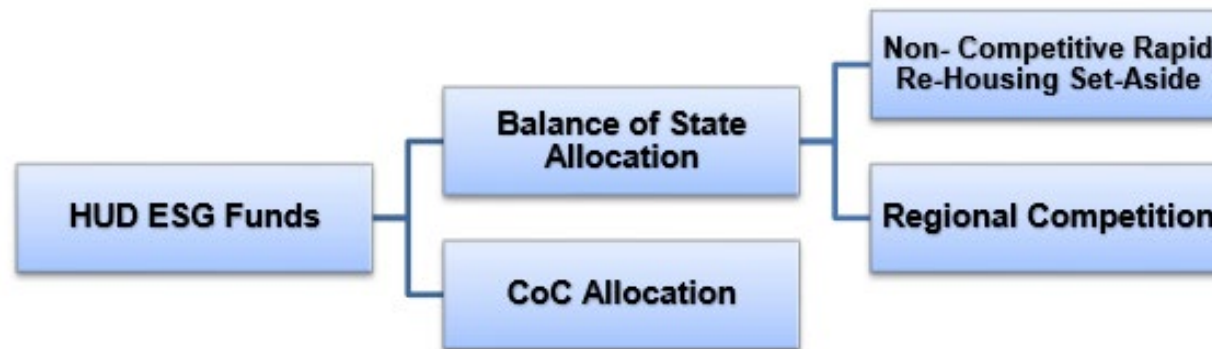
The ESG program provides funding for the following objectives:

- Engaging individuals and families experiencing homelessness;
- Improving the quality of Emergency Shelters (ES) for individuals and families experiencing homelessness by helping to operate these shelters, and by providing essential services to shelter residents;
- Rapidly re-housing individuals and families experiencing homelessness; and
- Preventing families/individuals from becoming homeless.

BALANCE OF STATE ALLOCATION

The funds are distributed in two separate funding pools: the CoC allocation and the Balance of State (BoS) allocation. Funding in the BoS allocation is made available based on recommendations from a CoC in two ways:

1. Through regional competitions within three geographic regions (Northern Region, Bay Area Region, Central and Imperial Valley Region); and
2. Through a noncompetitive process for Rapid Re-Housing (RR) activities.



ROLE OF COC

- Applications from homeless providers shall only be considered if they are recommended by the CoC where the proposed activity is located. CoCs are required to submit the CoC recommendation package.
- For single-county CoCs, a maximum of two applications may be recommended by each CoC for the regional competition and a maximum of two applications may be recommended by each CoC for its noncompetitive funds.

FUNDING

2021 Estimated BoS Allocation: 50/50 percent Non-Competitive/Competitive.

Northern							
1	526	Amador, Calaveras, Tuolumne and Mariposa Counties CoC	\$196,026	\$78,410	\$117,616	\$98,013	\$98,013
2	519	Chico/Paradise/Butte County CoC	\$338,560	\$135,424	\$203,136	\$169,280	\$169,280
3	523	Colusa, Glenn, Trinity Counties CoC	\$209,500	\$83,800	\$125,700	\$104,750	\$104,750
4	522	Humboldt County CoC	\$348,380	\$139,352	\$209,028	\$174,190	\$174,190
5	529	Lake County CoC	\$247,938	\$99,175	\$148,763	\$123,969	\$123,969
6	509	Mendocino County CoC	\$261,552	\$104,621	\$156,931	\$130,776	\$130,776
7	516	Redding/Shasta, Siskiyou, Lassen, Plumas, Del Norte, Modoc, Sierra Counties CoC	\$313,986	\$125,594	\$188,392	\$156,993	\$156,993
8	527	Tehama County CoC	\$279,600	\$111,840	\$167,760	\$139,800	\$139,800
9	524	Yuba City & County/Sutter County CoC	\$242,004	\$96,802	\$145,202	\$121,002	\$121,002

COC APPLICATION RECOMMENDATIONS

- Applications shall only be considered if they are recommended by the CoC where the proposed activity is located. CoCs are required to submit the CoC recommendation package found with the ESG application HCD's website.

- In recommending applicants through the regional competition, the CoC must follow a procurement process including, but not limited to, the following:
 1. Conducting a fair and open competition that avoids conflicts of interest in project selection, implementation, and the administration of funds; and
 2. Considering state application eligibility and rating criteria as described in the "Application Threshold Requirements," "Application Submittal Requirements," and the "Rating Criteria" sections of the ESG NOFA.



2021 ESG BALANCE OF STATE NON-COMPETITIVE APPLICATION TO COC



NON-COMPETITIVE APPLICATION

- CoCs are responsible for recommending homeless service providers for noncompetitive Rapid-Rehousing funds for a minimum of 40 percent up to a maximum of 50 percent of ESG funds in their BoS formula allocation.

Note: It was decided during the Special CoC Meeting, held August 26, 2021, that the formula allocation would be 50%

ELIGIBLE ACTIVITIES

ACTIVITY	ALLOWABLE ESG AMOUNTS AND PERCENTAGES PER APPLICATION UNDER NONCOMPETITIVE RR SET-ASIDE (unless otherwise noted)
<p>For single-county CoCs, up to two applications may be submitted. For multi-county CoCs, up to three applications may be submitted.</p>	
<p>Rapid Re-Housing</p>	<p><u>Noncompetitive set-aside</u>: Per allocation, CoC must elect a minimum of 40 percent and a maximum of 50 percent of their BoS formula allocation.</p>
<p>Street Outreach</p>	<p>Up to a maximum of 10 percent of a total application request when requested in combination with RR. The SO Activity may be subcontracted to another eligible provider or may be provided directly by the Applicant.</p>
<p>Homelessness Prevention</p>	<p>Up to a maximum of 10 percent of a total application request when requested in combination with RR. The HP Activity may be subcontracted to another eligible provider or may be provided directly by the Applicant.</p>
<p>HMIS</p>	<p>Up to a maximum of 10 percent per application. The HMIS Activity may be provided directly by the Applicant or may be subcontracted to another eligible provider.</p>
<p>Grant Administration</p>	<p>Local government service providers may request up to \$200 per application for Grant Administration.</p>

COC APPLICATION REQUIREMENTS - NON-COMPETITIVE

Applications must be submitted to the CoC as follows:

- Sent to ButteCoC@buttecounty.net
 - Subject line must consist of Agency Name, “ESG Balance of State”, and Non-Competitive
 - Within the body of the email, include a brief project overview (a max of 250 words), list the amount requested and the number of people served.

- Include a written Narrative of proposed project

- Include completed BoS Non-Competitive attachments
 - Form II – Certificate of Indirect Costs
 - Form III (a)(b) – Indirect Cost Match Budgets

COC APPLICATION REQUIREMENTS – NON-COMPETITIVE NARRATIVE

- **Applicant Experience (20 Points)**
 - Years of Experience, including program name, location, time period and funding source/amount
 - List open ESG contracts, including those terminated and/or disencumbered. Must include award amount, and amount disencumbered, if applicable
 - For applicants that have received ESG funding in the past three years, up to twenty (20) points deducted based on Terminated and/or Disencumbered ESG
- **Program Design (20 Points)**
 - Refer to Rapid Re-Housing Core Practice Table (Form III – Program Design BoS Competitive for guidance)
- **Need for Funds (10 Points)**
 - Refer to PIT and HIC
 - Include Case Load Capacity
- **Impact and Effectiveness (30 Points)**
 - Provide Project Level Performance Data (from HMIS or similar) that would substantiate the percentage of successful exits
- **Cost Efficiency (10 Points)**
 - Provide average cost per exit to permanent housing based on program expenditures from all sources for Rapid Re-Housing (Form VI – Cost Efficiency BoS Competitive for guidance)



2021 ESG BALANCE OF STATE COMPETITIVE APPLICATION TO COC



COMPETITIVE APPLICATION

- All applicants must obtain a recommendation from their CoC. Under the regional competition, for single-county CoCs, no more than two applications shall be recommended by the CoC and submitted to HCD. HCD will award no more than two contracts through the regional competition per single-county CoC Service Area.

ELIGIBLE ACTIVITIES

ACTIVITY	ALLOWABLE ESG AMOUNTS AND PERCENTAGES PER APPLICATION UNDER THE REGIONAL COMPETITION (unless otherwise noted)
<p>For single-county CoCs, up to two applications may be submitted. For multi-county CoCs, up to three applications may be submitted.</p>	
Rapid Re-Housing	Regional competition: \$75,000 minimum, \$200,000 maximum per application.
Emergency Shelter	\$75,000 minimum, \$200,000 maximum per application.
Street Outreach	\$75,000 minimum, \$200,000 maximum per application as a stand-alone Activity, or up to a maximum of 10 percent of a total application (not to exceed \$20,000) when requested in addition to RR or ES. The SO Activity may be subcontracted to another eligible provider or may be provided directly by the Applicant.
Homelessness Prevention	Up to a maximum of 10 percent of a total application request (not to exceed \$20,000) when requested in combination with RR or ES. The HP Activity may be subcontracted to another eligible provider or may be provided directly by the Applicant. No stand-alone HP applications are permitted.
HMIS	Up to a maximum of 10 percent per application (not to exceed \$20,000). The HMIS Activity may be subcontracted to another eligible provider or may be provided directly by the Applicant.
Grant Administration	Local government service providers may request up to \$200 per application.

COC APPLICATION REQUIREMENTS – COMPETITIVE

Applications must be submitted to the CoC as follows:

- Sent to ButteCoC@buttecounty.net
 - Subject line must consist of Agency Name, “ESG Balance of State”, and Competitive
 - Within the body of the email, include a brief project overview (a max of 250 words), list the amount requested and the number of people served.
- Include completed BoS Competitive attachments
 - Attachments B – General Certifications
 - Attachments E – Activities
 - Attachments F – HMIS or Comparable BoS Competitive
 - Attachments H – Evidence of Site Control BoS Competitive
 - Attachment J – Certification of Indirect Costs BoS Competitive
 - Form II – Applicant Experience
 - Form III – Program Design BoS Competitive
 - Form IV – Need for Funds BoS Competitive
 - Form V – Impact and Effectiveness BoS Competitive
 - **(EMAIL HMISHelp@buttecounty.net FOR HMIS INFORMATION Metric 3.917 Chronically Homeless)**
 - Form VI – Cost Efficiency BoS Competitive



APPLICATION AND IMPLEMENTATION INFORMATION



APPLICATION REQUIREMENTS

An application must meet the following threshold requirements to be eligible for rating and ranking:

1. The application was complete and received by the deadline.
2. The applicant is eligible as described in Section III Subsection B of the NOFA.
3. The CoC recommends the applicant from the Service Area where the proposed activity is located, as documented by the certification of approval from the CoC.
4. The application includes a funding request of at least \$75,000, but not more than \$200,000.
5. Proposed activities are eligible pursuant to Section III Subsection B of the NOFA.
6. Applicant must have adequate and documented site control for any application proposing an Emergency Shelter (ES) activity.

APPLICATION REQUIREMENTS CONTINUED

7. The application includes a certification of written standards for the proposed activities adopted by the CoC.
8. The application includes a completed Authorizing Resolution (AR) approved by the applicant's governing board. The AR designates a person or persons responsible for, and authorized to execute, all documents related to the application of ESG funds, ESG award, and submittal of funds requests.
9. For private non-profit organizations proposing ES activities, the application must include a Certification of Local Approval completed and signed by the city or county where the activity is located.
10. For applications from private nonprofit-organizations, the application contains the organization's current bylaws and articles of incorporation.
11. Applicants must have identified dollar-for-dollar match for the federal ESG funding with funds from other public or private sources.

RATING CRITERIA

Factor	Max Points
Applicant Experience	20
Program Design	20
Need for Funds	10
Impact and Effectiveness	30
Cost Efficiency	10
TOTAL	90

APPLICATION SELECTION (AT STATE LEVEL)

Applications will be ranked based on their score as follows:

1. Within each regional allocation, applications will be ranked in descending order and awarded the amount requested in the application, or a revised amount if necessary to conform to funding limits in the NOFA. In the event of a tie between applicants within a regional allocation, funds will be awarded to the applicant who scored the most points in the Impact and Effectiveness rating factor.
2. When there are insufficient funds to fully fund the next highest ranked application, this application may be partially funded, if the approved activities can be adequately performed with the remaining ESG allocation.

ELIGIBLE APPLICANTS

- To provide ESG-eligible activities within a CoC Service Area, applicants must be either private non-profit organizations or units of general-purpose local governments.
- All applicants must have a Dun and Bradstreet Universal Numbering System (DUNS) number.
 - Applicants must list their DUNS number in the ESG application. Evidence of an active DUNS number with the correct applicant address is required as part of the application and may be obtained by searching the System of Award Management. Applicants must include a copy of the search result in the application.

ELIGIBLE COSTS

- Grantees and their recommended subgrantees must follow all the OMB Cost Principles and Generally Accepted Accounting Principles (GAAP).
- Shifting costs between awards to overcome funding deficiencies is not allowed.
- Costs charged to the ESG program must be allowable, allocable, and reasonable.
- Eligible costs may be direct or indirect. They must be incurred for the same purpose in like circumstances and must be treated consistently as either direct or indirect costs.

IMPLEMENTATION REQUIREMENTS

Use of Coordinated Entry

All ESG-funded activities shall utilize a Coordinated Entry System (CES) established by the CoC in a manner that promotes the following:

1. Comprehensive and coordinated access to assistance regardless of where an individual or family is located in the CoC Service Area. Local systems should be easy to navigate and have protocols in place to ensure immediate access to assistance for people who are experiencing homelessness or are most at-risk.
2. Prioritized access to assistance for people with the most urgent and severe needs, including, but not limited to, survivors of domestic violence. ESG-funded activities shall seek to prioritize people who:
 1. Are unsheltered and living in places not designed for human habitation.
 2. Have experienced the longest amount of time homeless.
 3. Have multiple and severe services needs that inhibit their ability to quickly identify and secure housing on their own.
 4. For HP activities, are at greatest risk of becoming Literally Homeless without an intervention, and are at greatest risk of experiencing a longer time in ES or on the street should they become homeless.

IMPLEMENTATION REQUIREMENTS CONTINUED

Housing First Practices

All ESG-assisted projects shall operate in a manner consistent with Housing First practices as reflected in the state's Core Practices and the CoC written standards and progressive engagement and assistance practices, including the following:

1. Ensuring low-barrier, easily accessible assistance to all people, including, but not limited to, people with no income or income history, and people with active substance abuse or mental health issues.
2. Helping participants quickly identify and resolve barriers to obtaining and maintaining housing.
3. Quickly resolving a participant's housing crisis before focusing on other non-housing related services.
4. Allowing participants to choose the services and housing that meets their needs, within practical and funding limitations.
5. Connecting participants to appropriate support services available in the community that foster long-term housing stability.

IMPLEMENTATION REQUIREMENTS CONTINUED

Progressive Engagement

Offering financial assistance and supportive services in a manner that offers a minimum amount of assistance initially, adding more assistance over time, if needed, to quickly resolve the participant's housing crisis by either ending homelessness, avoiding an immediate return to becoming literally Homeless, or avoiding the imminent risk of becoming homeless. The type, duration, and amount of assistance offered shall be based on an individual assessment of the household and the availability of other resources or support systems to resolve their housing crisis and stabilize them in housing.

IMPLEMENTATION REQUIREMENTS CONTINUED

RR and HP Terms of Assistance

RR activities funded within the same CoC Service Area shall follow the same program requirements for type, duration, and amount of assistance provided, unless the CoC provides sufficient written justification for any differences and these are approved in writing by HCD. HP activities funded within the same CoC Service Area shall follow the same program requirements for type, duration, and amount of assistance provided, unless sufficient written justification for any differences is provided by the CoC and HCD approves such justification in writing.

MATCH REQUIREMENTS

- Grantees must make matching contributions in an amount that equals the amount of federal ESG funds awarded. HCD may request documentation as part of program monitoring to determine the sources and amounts used to meet the federal ESG matching requirement.
- Matching contributions may be obtained from any source, including any federal source other than the ESG program, as well as state, local, and private sources. However, the following requirements apply to matching contributions from a federal source of funds:
 1. Laws governing any funds to be used as matching contributions do not prohibit those funds from being used to match ESG funds.
 2. If ESG funds are used to satisfy the matching requirements of another federal program, then funding from that program may not be used to satisfy the matching requirements.

EXPENDITURE & OBLIGATION REQUIREMENTS

- All of the grantee's ESG funds must be expended for eligible activity costs within 24 months after the date HUD signs the grant agreement with HCD.
- After the Standard Agreement with HCD is fully executed, grantees may request an advance of 30 days working capital or \$5,000, whichever is greater. Otherwise, all funding requests are on a reimbursement-only basis. All eligible costs incurred after the date of the award letter issued to the grantee by HCD are reimbursable. Grantees shall not expend any funds requiring reimbursement prior to the date of the award letter. However, no funds shall be expended until any required environmental review process has been completed.
- Requests for disbursement must be made at least quarterly. HCD may establish minimum reimbursement amounts, or other related procedures necessary for the efficient administration of the ESG program.

ADDITIONAL FEDERAL REQUIREMENTS

- General
- Homeless Participation
- Program Termination
- Program Administration
- Faith-based activities
- Affirmative Outreach
- Uniform Administrative
- Environmental Review Responsibilities
- Procurement of Recovered Materials
- Shelter and Housing Standards
- Recordkeeping and Reporting
- Enforcement
- Other



QUESTIONS?
LOOK FOR EMAIL TO FOLLOW

